

# Introduction to Topology

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## Chapter 1. Metric Spaces

### 1. Definition and Examples.

As the course progresses we will need to review some basic notions about sets and functions. We begin with a little set theory.

Let  $S$  be a set. For  $A, B \subseteq S$ , put

$$\begin{aligned} A \cup B &:= \{s \in S \mid s \in A \text{ or } s \in B\} \\ A \cap B &:= \{s \in S \mid s \in A \text{ and } s \in B\} \\ S - A &:= \{s \in S \mid s \notin A\} \end{aligned}$$

1.1 THEOREM. Let  $A, B \subseteq S$ . Then  $S - (A \cup B) = (S - A) \cap (S - B)$ .

*Exercise 1.* Let  $A \subseteq S$ . Prove that  $S - (S - A) = A$ .

*Exercise 2.* Let  $A, B \subseteq S$ . Prove that  $S - (A \cap B) = (S - A) \cup (S - B)$ . (Hint: Either prove this directly as in the proof of Theorem 1.1, or just use the statement of Theorem 1.1 together with Exercise 1.)

*Exercise 3.* Let  $A, B, C \subseteq S$ . Prove that  $A \Delta C \subseteq (A \Delta B) \cup (B \Delta C)$ , where  $A \Delta B := (A \cup B) - (A \cap B)$ .

**1.2 Definition.** A *metric space* is a pair  $(X, d)$  where  $X$  is a non-empty set, and  $d$  is a function  $d : X \times X \rightarrow \mathbb{R}$  such that for all  $x, y, z \in X$

- (1)  $d(x, y) \geq 0$ ,
- (2)  $d(x, y) = 0$  if and only if  $x = y$ ,
- (3)  $d(x, y) = d(y, x)$ , and
- (4)  $d(x, z) \leq d(x, y) + d(y, z)$  (“triangle inequality”).

In the definition,  $d$  is called the *distance function* (or *metric*) and  $X$  is called the *underlying set*.

**1.3 Example.** For  $x, y \in \mathbb{R}$ , set  $d(x, y) = |x - y|$ . Then  $(\mathbb{R}, d)$  is a metric space.

**1.4 Example.** Let  $X$  be a non-empty set. For  $x, y \in X$ , set  $d(x, y) = \begin{cases} 0 & x = y, \\ 1 & x \neq y. \end{cases}$  Then  $(X, d)$  is a metric space. ( $d$  is called the *discrete metric* on  $X$ .)

**1.5 Example.** Let  $X$  be the set of all continuous functions  $f : [a, b] \rightarrow \mathbb{R}$ . For  $f, g \in X$ , set  $d(f, g) = \int_a^b |f(t) - g(t)| dt$ . Then  $(X, d)$  is a metric space.

**1.6 Example.** Let  $p$  be a fixed prime number. For  $m, n \in \mathbb{Z}$  set

$$d(m, n) = \begin{cases} 0 & m = n, \\ p^{-t} & m \neq n, \end{cases}$$

where  $m - n = p^t k$  with  $k$  an integer that is not divisible by  $p$ . Then  $(\mathbb{Z}, d)$  is a metric space.

*Exercise 4.* Let  $X$  be the collection of the interiors of those rectangles in  $\mathbb{R}^2$  having sides parallel to the coordinate axes. For  $A, B \in X$ , let  $d(A, B)$  denote the area of  $A \triangle B$ . Prove that  $(X, d)$  is a metric space. (Hint: Use Exercise 3.)

For sets  $X_1, \dots, X_n$ , define

$$\prod_{i=1}^n X_i := \{(x_1, \dots, x_n) \mid x_i \in X_i\}.$$

This set is called the *Cartesian product* of  $X_1, \dots, X_n$ . Sometimes it is written  $X_1 \times \dots \times X_n$ .

*Exercise 5.* Let  $\mathbb{R}^n := \mathbb{R} \times \dots \times \mathbb{R}$  ( $n$  factors). For  $x, y \in \mathbb{R}^n, c \in \mathbb{R}$  set

$$\begin{aligned} x + y &= (x_1 + y_1, \dots, x_n + y_n), \\ cx &= (cx_1, \dots, cx_n), \\ x \cdot y &= x_1 y_1 + \dots + x_n y_n, \\ \|x\| &= (x_1^2 + \dots + x_n^2)^{1/2} \end{aligned}$$

Prove the following:

- $x \cdot (y + z) = x \cdot y + x \cdot z$ .
- $(cx) \cdot y = c(x \cdot y)$ .
- $x \cdot y \leq \|x\| \|y\|$  (Hint:  $\| \|y\|x - \|x\|y \|^2 \geq 0$ . Use the fact that  $\|z\|^2 = z \cdot z$  together with (a) and (b) to expand the left hand side.)
- $\|x + y\| \leq \|x\| + \|y\|$  (Hint: Square both sides and use (c).)

*Exercise 6.* For  $x, y \in \mathbb{R}^n$ , set  $d(x, y) = [\sum_{i=1}^n (x_i - y_i)^2]^{1/2}$ . Prove that  $(\mathbb{R}^n, d)$  is a metric space. (The function  $d$  is called the *Euclidean metric* on  $\mathbb{R}^n$ .) (Hint: For the triangle inequality, note that  $d(x, z) = \|x - z\|$ . Use Exercise 5(d).)

**1.7 THEOREM.** Let  $(X_1, d_1), \dots, (X_n, d_n)$  be metric spaces and let  $X = \prod_{i=1}^n X_i$ . For  $x, y \in X$ , set  $d(x, y) = \max\{d_i(x_i, y_i) \mid 1 \leq i \leq n\}$ . Then  $(X, d)$  is a metric space.

**1.8 COROLLARY.** For  $x, y \in \mathbb{R}^n$ , set  $\rho(x, y) = \max\{|x_i - y_i| \mid 1 \leq i \leq n\}$ . Then  $(\mathbb{R}^n, \rho)$  is a metric space.

The function  $\rho$  is called the *square metric* on  $\mathbb{R}^n$ .

## 2. Continuous Functions.

**2.1 Definition.** Let  $(X, d)$  and  $(Y, d')$  be metric spaces. A function  $f : X \rightarrow Y$  is *continuous at the point*  $a \in X$  if for each  $\epsilon > 0$ , there exists a  $\delta > 0$  such that whenever  $x \in X$  satisfies

$$d(x, a) < \delta,$$

then  $f(x)$  satisfies

$$d'(f(x), f(a)) < \epsilon.$$

The function  $f$  is *continuous* if it is continuous at each point of  $X$ .

In the case  $X = Y = \mathbb{R}$  (usual metric), we have  $d(x, a) = |x - a|$  and  $d'(f(x), f(a)) = |f(x) - f(a)|$ , so this definition of continuity agrees with the usual definition.

**2.2 Example.** Given a fixed  $c \in Y$ , the *constant function*  $f : X \rightarrow Y$  given by  $f(x) = c$  ( $x \in X$ ) is continuous.

**2.3 Example.** The function  $f : \mathbb{R} \rightarrow \mathbb{R}$  given by  $f(x) = \begin{cases} 0 & x = 0, \\ x/|x| & x \neq 0, \end{cases}$  is discontinuous (i.e., not continuous).

**2.4 Example.** Let  $(X, d)$  be a metric space. The *identity function*  $f : X \rightarrow X$  given by  $f(x) = x$  is continuous.

**2.5 Example.** The function  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  given by  $f(x_1, x_2) = x_1 + x_2$  is continuous where  $\mathbb{R}$  has the usual metric and  $\mathbb{R}^2$  has the square metric.

*Exercise 7.* Let  $a, b \in \mathbb{R}$ . Prove that the linear function  $f : \mathbb{R} \rightarrow \mathbb{R}$  given by  $f(x) = ax + b$  is continuous. (Hint: For the case  $a = 0$  use Example 2.2.)

*Exercise 8.* Let  $(X, d)$  be the metric space defined in Example 1.5. Prove that the function  $F : X \rightarrow \mathbb{R}$  given by  $F(f) = \int_a^b f(t) dt$  is continuous where  $\mathbb{R}$  has the usual metric.

Let  $X, Y, Z$  be sets and let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be functions. The *composition* of  $f$  and  $g$  is the function  $g \circ f : X \rightarrow Z$  given by  $(g \circ f)(x) = g(f(x))$ .

**2.6 THEOREM.** Let  $(X, d)$ ,  $(Y, d')$ ,  $(Z, d'')$  be metric spaces. If  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous, then so is  $g \circ f : X \rightarrow Z$ .

*Exercise 9.* It is shown in calculus that the function  $f : \mathbb{R} \rightarrow \mathbb{R}$  given by  $f(x) = x^2$  is continuous. Assuming this, prove that the function  $g : \mathbb{R} \rightarrow \mathbb{R}$  given by  $g(x) = x^4 + 2x^2 + 1$  is also continuous.

### 3. Limit of a Sequence.

**3.1 Definition.** Let  $(X, d)$  be a metric space and let  $(a_n) = (a_1, a_2, \dots)$  be a sequence of elements of  $X$ . An element  $a$  of  $X$  is called the *limit of the sequence*  $(a_n)$  if for each  $\epsilon > 0$  there exists a positive integer  $N$  such that  $d(a_n, a) < \epsilon$  for all  $n > N$ . In this case we say that the sequence *converges to*  $a$  and write  $\lim_n a_n = a$ .

In the case  $X = \mathbb{R}$  (usual metric), we have  $d(a_n, a) = |a_n - a|$ , so this definition of limit agrees with the usual definition.

*Exercise 10.* Prove that a sequence can have at most one limit.

**3.2 Example.** Let  $a_n = 1/n \in \mathbb{R}$  (usual metric). Then  $\lim_n a_n = 0$ .

**3.3 Example.** Let  $(\mathbb{Z}, d)$  be the metric space of Example 1.6 relative to the fixed prime number  $p$ . We have  $\lim_n p^n = 0$ .

*Exercise 11.* Let  $(a_n)$  and  $(b_n)$  be sequences in  $\mathbb{R}$  (usual metric) and assume that  $\lim_n a_n = a$  and  $\lim_n b_n = b$ . Prove that  $\lim_n (a_n + b_n) = a + b$ .

*Exercise 12.* Let  $(X, d)$  be the metric space of Example 1.5 and let  $f_n \in X$  be given by  $f_n(x) = x/n$ . Prove that  $\lim_n f_n = z$ , where  $z$  denotes the zero function ( $z(x) = 0 \forall a \leq x \leq b$ ).

**3.4 THEOREM.** Let  $(X, d)$  and  $(Y, d')$  be metric spaces. A function  $f : X \rightarrow Y$  is continuous at the point  $a \in X$  if and only if for each sequence  $(a_n)$  in  $X$  converging to  $a$ , we have  $\lim_n f(a_n) = f(a)$ .

*Exercise 13.* Prove that  $\lim_n \frac{1 + 2n^2 + n^4}{n^4} = 1$  by using only what we have shown in this course. (Hint: Use Exercise 9, Example 3.2, and Theorem 3.4.)

## 4. Open Sets.

Let  $f : X \rightarrow Y$  be a function. We say that

$f$  is *injective* if  $f(x_1) = f(x_2)$  implies  $x_1 = x_2$  ( $x_i \in X$ ),

$f$  is *surjective* if for each  $y \in Y$ , there exists  $x \in X$  such that  $f(x) = y$ ,

$f$  is *bijective* if it is both injective and surjective.

Given  $A \subseteq X$ , the subset

$$f(A) := \{f(a) \mid a \in A\}$$

of  $Y$  is called the *image of  $A$  under  $f$* . Given  $B \subseteq Y$ , the subset

$$f^{-1}(B) := \{x \in X \mid f(x) \in B\}$$

of  $X$  is called the *inverse image of  $B$  under  $f$* .

If  $f$  is bijective, then there exists an inverse function  $f^{-1} : Y \rightarrow X$  which sends  $f(x)$  to  $x$ , and in this case  $f^{-1}(B)$  coincides with the image of  $B$  under  $f^{-1}$  as the notation suggests. However, the inverse image of  $B$  under  $f$  is defined even when the inverse function  $f^{-1}$  is not.

*Exercise 14.* Let  $f : X \rightarrow Y$  be a function and let  $A \subseteq X$ ,  $B \subseteq X$ . Prove the following:

- a.  $A \subseteq f^{-1}(f(A))$  with equality if  $f$  is injective.
- b.  $B \supseteq f(f^{-1}(B))$  with equality if  $f$  is surjective.

**4.1 Definition.** Let  $(X, d)$  be a metric space. For  $a \in X$  and  $\epsilon > 0$ , the set

$$B_\epsilon(a) := \{x \in X \mid d(x, a) < \epsilon\}$$

is called the *open ball about  $a$  of radius  $\epsilon$*  (or the  *$\epsilon$ -ball about  $a$* ).

The following theorems express the notions of continuity and limit using this new notation.

**4.2 THEOREM.** *Let  $(X, d)$  and  $(Y, d')$  be metric spaces. A function  $f : X \rightarrow Y$  is continuous at  $a \in X$  if and only if for each  $\epsilon > 0$  there exists  $\delta > 0$  such that  $f(B_\delta(a)) \subseteq B_\epsilon(f(a))$  (or equivalently,  $B_\delta(a) \subseteq f^{-1}[B_\epsilon(f(a))]$ ).*

**4.3 THEOREM.** *Let  $(X, d)$  be a metric space and let  $(a_n)$  be a sequence in  $X$ . Then  $\lim_n a_n = a$  if and only if for each  $\epsilon > 0$  there exists a positive integer  $N$  such that  $a_n \in B_\epsilon(a)$  for all  $n > N$ .*

For the rest of this section,  $(X, d)$  denotes a metric space.

**4.4 Definition.** A subset  $U$  of  $X$  is *open* if for each  $a \in U$  there exists  $\epsilon > 0$  such that  $B_\epsilon(a) \subseteq U$ .

**4.5 Example.** The open subsets of  $\mathbb{R}$  (usual metric) are just the unions of open intervals (e.g.,  $(-3, -1) \cup (40/7, \infty)$ ). The set  $[2, 5)$  is not open in  $\mathbb{R}$ .

**4.6 Example.** The set of points enclosed by a closed curve (not including the curve itself) is an open subset of  $\mathbb{R}^2$  (with either the Euclidean metric or the square metric). The set of points lying outside a closed curve (again, not including the curve) is also open.

*Exercise 15.* Given  $a \in X$  and  $\epsilon > 0$ , prove that  $B_\epsilon(a)$  is open.

*Exercise 16.* Let  $(X, d)$  be the metric space of Example 1.4. Prove that *every* subset of  $X$  is open.

**4.7 THEOREM.** *Let  $(X, d)$  and  $(Y, d')$  be metric spaces. A function  $f : X \rightarrow Y$  is continuous if and only if  $f^{-1}(U)$  is open for each open subset  $U$  of  $Y$ .*

Let  $I$  be a set. Suppose that for each  $\alpha \in I$  we have a set  $A_\alpha$ . Then  $\{A_\alpha\}_{\alpha \in I}$  is called an *indexed family of sets* and  $I$  is called the *index set*.

Extending the concepts of union and intersection, we define

$$\begin{aligned}\cup_\alpha A_\alpha &:= \{a \mid a \in A_\alpha \text{ for some } \alpha \in I\} \\ \cap_\alpha A_\alpha &:= \{a \mid a \in A_\alpha \text{ for all } \alpha \in I\}\end{aligned}$$

*Exercise 17.* Let  $f : X \rightarrow Y$  be a function and let  $\{A_\alpha\}_{\alpha \in I}$  be an indexed family of subsets of  $X$ .

- Prove that  $f(\cup_\alpha A_\alpha) = \cup_\alpha f(A_\alpha)$ .
- Prove that  $f(\cap_\alpha A_\alpha) \subseteq \cap_\alpha f(A_\alpha)$  with equality if  $f$  is injective.

4.8 THEOREM.

- (1)  $X$  is open,
- (2)  $\emptyset$  is open,
- (3) If  $U_1, \dots, U_n \subseteq X$  are open, then so is  $U_1 \cap \dots \cap U_n$ ,
- (4) If  $\{U_\alpha\}_{\alpha \in I}$  is a family of open subsets of  $X$ , then  $\cup_\alpha U_\alpha$  is open.

**4.9 Example.** For each positive integer  $n$ , set  $U_n := (-1/n, 1/n) \subseteq \mathbb{R}$ . Then  $\cap_n U_n = \{0\}$ , which is not open. This shows that we can only allow finitely many  $U_i$  in part (3) of the Theorem.

*Exercise 18.* Let  $U$  be a nonempty subset of  $X$ . Prove that  $U$  is open if and only if it equals a union of (possibly infinitely many) open balls.

## 5. Closed Sets.

Let  $(X, d)$  be a metric space.

**5.1 Definition.** A subset  $A$  of  $X$  is *closed* if  $X - A$  is open.

Riddle: *How is a subset of  $X$  different from a door?* Answer: It is possible for a subset of  $X$  to be neither closed nor open (e.g.,  $[0, 1) \subseteq \mathbb{R}$  (usual metric)). It is also possible for a subset of  $X$  to be both closed and open (*clopen*) (e.g.,  $\emptyset$  is clopen, as is  $X$ ).

**5.2 Example.** The subset  $(-\infty, -3] \cup [-1, 40/7]$  of  $\mathbb{R}$  (usual metric) is closed.

**5.3 Example.** The set of points enclosed by a closed curve (including the curve) is a closed subset of  $\mathbb{R}^2$  (either metric), as is the set of points outside a closed curve (including the curve).

*Exercise 19.* Let  $A$  be a subset of  $X$ . A point  $b$  of  $X$  is a *limit point* of  $A$  if each open ball about  $b$  contains a point of  $A$  different from  $b$ .

- a. Prove that  $b$  is a limit point of  $A$  only if there exists a sequence  $(a_n)$  in  $A$  that converges to  $b$ .
- b. Prove that  $A$  is closed if and only if it contains all its limit points.

**5.4 THEOREM.** A subset  $A$  of  $X$  is closed if and only if for each sequence  $(a_n)$  in  $A$  that converges to  $a \in X$ , we have  $a \in A$ .

*Exercise 20.* Let  $(X, d)$  and  $(Y, d')$  be metric spaces. Prove that a function  $f : X \rightarrow Y$  is continuous if and only if  $f^{-1}(A)$  is closed for each closed  $A \subseteq Y$ .

5.5 THEOREM.

- (1)  $X$  is closed.
- (2)  $\emptyset$  is closed.
- (3) If  $A_1, \dots, A_n \subseteq X$  are closed, then so is  $A_1 \cup \dots \cup A_n$ .
4. If  $\{A_\alpha\}_{\alpha \in I}$  is a family of closed subsets of  $X$ , then  $\cap_\alpha A_\alpha$  is closed.

## Chapter 2. Topological Spaces

### 1. Definition and Examples.

**1.1 Definition.** A *topological space* is a pair  $(X, \mathcal{T})$  where  $X$  is a nonempty set and  $\mathcal{T}$  is a collection of subsets of  $X$  such that

- (1)  $X \in \mathcal{T}$ ,
- (2)  $\emptyset \in \mathcal{T}$ ,
- (3) If  $U_1, \dots, U_n \in \mathcal{T}$ , then  $U_1 \cap \dots \cap U_n \in \mathcal{T}$ ,
- (4) If  $\{U_\alpha\}_{\alpha \in I}$  is an indexed family with  $U_\alpha \in \mathcal{T}$  for each  $\alpha \in I$ , then  $\cup_\alpha U_\alpha \in \mathcal{T}$ .

The set  $X$  is called the *underlying set*; its elements are called *points*. The collection  $\mathcal{T}$  is called the *topology* on  $X$ ; its elements are called *open sets*.

**1.2 Example.** Let  $(X, d)$  be a metric space and let  $\mathcal{T}$  be the collection of all open sets (as defined in 4.4 of Chapter 1). Then, according to 4.8 of Chapter 1,  $(X, \mathcal{T})$  is a topological space.  $\mathcal{T}$  is called the *topology induced by the metric  $d$* .

**1.3 Example.** Let  $X$  be a nonempty set and let  $\mathcal{T}$  be the collection of *all* subsets of  $X$ . Then  $(X, \mathcal{T})$  is a topological space.  $\mathcal{T}$  is called the *discrete topology*.

**1.4 Example.** Let  $X$  be a nonempty set and let  $\mathcal{T} = \{X, \emptyset\}$ . Then  $(X, \mathcal{T})$  is a topological space.  $\mathcal{T}$  is called the *indiscrete topology*.

**1.5 Example.** Let  $X = \{a, b, c\}$  and let  $\mathcal{T} = \{\emptyset, \{b\}, \{a, b\}, \{b, c\}, \{a, b, c\}\}$ . Then  $(X, \mathcal{T})$  is a topological space. (Note that if we remove the set  $\{b\}$  from  $\mathcal{T}$ , then  $(X, \mathcal{T})$  is no longer a topological space since in that case  $\{a, b\} \cap \{b, c\} = \{b\} \notin \mathcal{T}$  and 1.1(3) is not satisfied.)

**1.6 Example.** Let  $X = \mathbb{N} := \{1, 2, 3, \dots\}$  and for each  $n \in \mathbb{N}$  set  $U_n = \{n, n+1, n+2, \dots\}$ . Let  $\mathcal{T} = \{\emptyset, U_n \mid n \in \mathbb{N}\}$ . Then  $(X, \mathcal{T})$  is a topological space.

**1.7 Example.** Let  $X$  be a nonempty set and let  $\mathcal{T} = \{U \subseteq X \mid U = \emptyset \text{ or } |X - U| < \infty\}$ . Then  $(X, \mathcal{T})$  is a topological space.  $\mathcal{T}$  is called the *finite complement topology*.

*Exercise 21.* Let  $(X, \mathcal{T})$  be a topological space and let  $S \subseteq X$ . Assume that for each  $s \in S$  there is an open set  $U$  such that  $s \in U \subseteq S$ . Prove that  $S$  is open.

For the rest of the section,  $(X, \mathcal{T})$  denotes a topological space.

**1.8 Definition.**  $(X, \mathcal{T})$  is *metrizable* if there exists a metric  $d$  on  $X$  that induces  $\mathcal{T}$ . (Recall from Example 1.2 that  $d$  *induces*  $\mathcal{T}$  if the elements of  $\mathcal{T}$  are precisely the open sets as defined for the metric space  $(X, d)$ . In other words, a subset  $U$  of  $X$  is in  $\mathcal{T}$  if and only if for each  $a \in U$ , there exists  $\epsilon > 0$  such that  $B_\epsilon(a) \subseteq U$ .)

**1.9 Example.** If a nonempty set  $X$  is given the discrete topology  $\mathcal{T}$  of Example 1.3, then the topological space  $(X, \mathcal{T})$  is metrizable.

**1.10 THEOREM.** *If  $(X, \mathcal{T})$  is metrizable, then for each  $x, y \in X$  with  $x \neq y$ , there exist open sets  $U, V \in \mathcal{T}$  such that  $x \in U$ ,  $y \in V$ , and  $U \cap V = \emptyset$ .*



**1.11 Example.** The topological space of Example 1.5 is not metrizable.

*Exercise 22.* Examine the topological spaces of Examples 1.4, 1.6, and 1.7, and decide under what circumstances, if any, each is metrizable.

The following exercise shows that it is possible for two *different* metrics on a set to induce the *same* topology.

*Exercise 23.* Let  $d$  and  $\rho$  denote the Euclidean metric and the square metric, respectively, on  $\mathbb{R}^2$  and let  $\mathcal{T}_d$  and  $\mathcal{T}_\rho$  denote the induced topologies (see 1.8 for the precise meaning of “induced”). Prove that  $\mathcal{T}_d = \mathcal{T}_\rho$ .

**1.12 Definition.** A subset  $A$  of  $X$  is *closed* if  $X - A$  is open.

*Exercise 24.* Prove that  $U \subseteq X$  is open if and only if  $X - U$  is closed.

1.13 THEOREM.

- (1)  $X$  is closed.
- (2)  $\emptyset$  is closed.
- (3) If  $A_1, \dots, A_n \subseteq X$  are closed, then so is  $A_1 \cup \dots \cup A_n$ .
- (4) If  $\{A_\alpha\}_{\alpha \in I}$  is a family of closed subsets of  $X$ , then  $\bigcap_\alpha A_\alpha$  is closed.

**1.14 Definition.** Let  $x \in X$ . Any open set containing  $x$  is called a *neighborhood* of  $x$ .

The following definition generalizes the metric space notion of “limit point” given in Exercise 19.

**1.15 Definition.** Let  $S$  be a subset of  $X$ . A point  $a \in X$  is a *limit point* of  $S$  if every neighborhood of  $a$  contains a point of  $S$  other than  $a$ .

1.16 THEOREM. *Let  $A$  be a subset of  $X$ . Then  $A$  is closed if and only if it contains all its limit points.*

## 2. Closure, Interior, Boundary.

Let  $(X, \mathcal{T})$  be a topological space.

**2.1 Definition.** Let  $S$  be a subset of  $X$ . The *closure*  $S^-$  of  $S$  is the intersection of all closed subsets of  $X$  containing  $S$ :

$$S^- := \bigcap_{\substack{A \supseteq S \\ A, \text{ closed}}} A.$$

Note that  $S^-$  is closed by 1.13(4). In fact,  $S^-$  can be thought of as the smallest closed set containing  $S$ , for if  $A$  is any closed set containing  $S$ , then  $A$  is one of the sets appearing on the right in the definition of  $S^-$  so that  $A \supseteq S^-$ .

**2.2 Example.** Let  $S = (-1, 1) \subset \mathbb{R}$  (usual topology). Then  $S^- = [-1, 1]$ . More generally, if  $S = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 < 1\} \subset \mathbb{R}^n$  (usual topology), then  $S^- = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 \leq 1\}$ .

**2.2 Example.** Let  $S = (-1, 1) \subset \mathbb{R}$  (usual topology). Then  $S^- = [-1, 1]$ . More generally, if  $S = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 < 1\} \subset \mathbb{R}^n$  (usual topology), then  $S^- = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 \leq 1\}$ .

**2.3 THEOREM.** A subset  $A$  of  $X$  is closed if and only if  $A^- = A$ .

*Exercise 25.* Let  $S, T \subseteq X$ .

- Prove that  $(S \cup T)^- = S^- \cup T^-$ .
- Prove that  $(S \cap T)^- \subseteq S^- \cap T^-$  and give an example to show that equality need not hold.
- Prove that  $(S^-)^- = S^-$ .

**2.4 THEOREM.** Let  $S$  be a subset of  $X$  and let  $S'$  denote the set of all limit points of  $S$ . Then  $S^- = S \cup S'$ .

*Exercise 26.* Let  $\mathcal{P}$  be the collection of all subsets of  $X$ . Define functions  $f, g : \mathcal{P} \rightarrow \mathcal{P}$  by  $f(S) = S^-$  and  $g(S) = X - S$ . If we start with a set  $S \in \mathcal{P}$  we can apply  $f$  and  $g$  in succession to form other sets. For instance, if  $X = \mathbb{R}$  (usual topology) and  $S = (0, 1)$ , then

$$\begin{aligned} f(S) &= [0, 1], \\ g(f(S)) &= (-\infty, 0) \cup (1, \infty), \\ f(g(f(S))) &= (-\infty, 0] \cup [1, \infty), \\ g(f(g(f(S)))) &= (0, 1) = S. \end{aligned}$$

- Prove that by starting with  $S \in \mathcal{P}$  and applying  $f$  and  $g$  in succession (starting with  $f$ , and then again starting with  $g$ ), no more than 14 different subsets of  $X$  can ever be obtained.
- Give an example of an  $S \subseteq \mathbb{R}$  (usual topology) for which 14 different subsets are actually obtained.

**2.5 Definition.** Let  $S$  be a subset of  $X$ . The *interior*  $S^\circ$  of  $S$  is the union of all open subsets of  $X$  contained in  $S$ :

$$S^\circ := \bigcup_{\substack{U \subseteq S \\ U, \text{ open}}} U.$$

Using an argument similar to that used for closure, we have that  $S^\circ$  is the largest open subset of  $X$  contained in  $S$ .

**2.6 Example.** If  $S = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 \leq 1\} \subset \mathbb{R}^n$  (usual topology), then  $S^\circ = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 < 1\}$ .

**2.7 THEOREM.** Let  $S$  be a subset of  $X$ .

- (1)  $S^\circ = X - (X - S)^-$ .
- (2)  $S^- = X - (X - S)^\circ$ .

*Exercise 27.* Let  $S, T \subseteq X$ .

- a. Prove that  $(S \cap T)^\circ = S^\circ \cap T^\circ$ .
- b. Prove that  $(S^\circ)^\circ = S^\circ$ .

(Hint: In both (a) and (b) one could proceed directly, of course, but it would be a lot more fun to use Theorem 2.7 and Exercise 25.) Incidentally, as one might expect judging from Exercise 25(b), it is also true that  $(S \cup T)^\circ \supseteq S^\circ \cup T^\circ$ , with equality not holding in general.

**2.8 THEOREM.** If  $S$  is a subset of  $X$ , then  $S^\circ = \{a \in X \mid U \subseteq S \text{ for some neighborhood } U \text{ of } a\}$ .

**2.9 Definition.** Let  $S$  be a subset of  $X$ . The *boundary*  $S^b$  of  $S$  is defined by  $S^b := S^- \cap (X - S)^-$ .

**2.10 Example.** If  $S = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 < 1\} \subset \mathbb{R}^n$  (usual topology), then  $S^b = \{x \in \mathbb{R}^n \mid x_1^2 + \cdots + x_n^2 = 1\}$ .

**2.11 THEOREM.** If  $S$  is a subset of  $X$ , then  $S^b = S^- - S^\circ$ .

*Exercise 28.* A collection  $\{S_1, \dots, S_n\}$  of subsets of  $X$  is a *partition* of  $X$  if  $X = S_1 \cup \cdots \cup S_n$  and  $S_i \cap S_j = \emptyset$  for  $i \neq j$ . Let  $S$  be a subset of  $X$ . Prove that  $\{S^\circ, S^b, (X - S)^\circ\}$  is a partition of  $X$ . (Hint: You might find Theorem 2.7 useful.)

### 3. Continuity and Homeomorphism.

Let  $(X, \mathcal{T})$  and  $(Y, \mathcal{T}')$  be topological spaces.

**3.1 Definition.** A function  $f : X \rightarrow Y$  is *continuous* if  $f^{-1}(V)$  is open for each open  $V \subseteq Y$ .

According to Theorem 4.7 of Chapter 1, this definition generalizes that of a continuous function between metric spaces.

*Exercise 29.* Give an example of a continuous function  $f : \mathbb{R} \rightarrow \mathbb{R}$  (usual topology) and an open subset  $U$  of  $\mathbb{R}$  such that  $f(U)$  is not open.

**3.2 THEOREM.** A function  $f : X \rightarrow Y$  is continuous if and only if  $f^{-1}(B)$  is closed for each closed  $B \subseteq Y$ .

Let  $(Z, \mathcal{T}'')$  be another topological space.

**3.3 THEOREM.** *If the functions  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are continuous, then so is the composition  $g \circ f : X \rightarrow Z$ .*

*Exercise 30.* Prove Theorem 3.3.

**3.4 THEOREM.** *A function  $f : X \rightarrow Y$  is continuous if and only if  $f(S^-) \subseteq f(S)^-$  for each subset  $S$  of  $X$ .*

Let  $1_X$  denote the identity function on  $X$ . So  $1_X : X \rightarrow X$  is given by  $1_X(x) = x$  ( $x \in X$ ).

**3.5 THEOREM.** *A function  $f : X \rightarrow Y$  is bijective if and only if there exists a function  $g : Y \rightarrow X$  such that  $g \circ f = 1_X$  and  $f \circ g = 1_Y$ .*

Assume  $f : X \rightarrow Y$  is bijective. The function  $g$  guaranteed by the theorem is unique; it is called the *inverse* of  $f$  and is denoted  $f^{-1}$ . Note that  $f^{-1}$  is also bijective.

**3.6 Definition.**  $(X, \mathcal{T})$  and  $(Y, \mathcal{T}')$  are said to be *homeomorphic* if there exist continuous functions  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  such that  $g \circ f = 1_X$  and  $f \circ g = 1_Y$ . In this case, we call any such functions  $f$  and  $g$  *homeomorphisms* and we write  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$  (or just  $X \simeq Y$  if the topologies are understood).

According to Theorem 3.5, a homeomorphism is necessarily bijective.

**3.7 Example.** If  $(a, b)$  and  $(c, d)$  are two open intervals in  $\mathbb{R}$  endowed with the usual topologies, then  $(a, b) \simeq (c, d)$ .

**3.8 Definition.** A function  $f : X \rightarrow Y$  is *open* if  $f(U)$  is open for each open  $U \subseteq X$ .

**3.9 THEOREM.** *A function  $f : X \rightarrow Y$  is a homeomorphism if and only if it is bijective, continuous, and open.*

Suppose  $(X, \mathcal{T})$  and  $(Y, \mathcal{T}')$  are homeomorphic and let  $f : X \rightarrow Y$  be a homeomorphism. Since  $f$  is a bijection, we can think of it as a renaming function: the element  $x$  of  $X$  gets renamed  $f(x) \in Y$ . In particular, we can think of the set  $Y$  as just the set  $X$  with elements renamed. This renaming function is compatible with the topologies in the sense that  $U$  is in  $\mathcal{T}$  if and only if the renamed elements of  $U$  (namely the elements of  $f(U)$ ) form an element of  $\mathcal{T}'$ . This implies that any property  $(X, \mathcal{T})$  has, that can be expressed entirely in terms of open sets,  $(Y, \mathcal{T}')$  must also have.

**3.10 THEOREM.** *If  $(X, \mathcal{T})$  is metrizable and  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ , then  $(Y, \mathcal{T}')$  is also metrizable.*

**3.11 Example.** Let  $(X, \mathcal{T})$  be the topological space of Example 1.5 and let  $\mathcal{T}'$  denote the discrete topology on  $X$ . Then  $(X, \mathcal{T}) \not\simeq (X, \mathcal{T}')$ .

Incidentally, we can use this example to show that Theorem 3.9 is not valid if we remove the word “open.” In other words, a bijective continuous function need not be a

homeomorphism. Indeed, if we view the identity function  $1_X$  as a function from  $(X, \mathcal{T}')$  to  $(X, \mathcal{T})$ , then it is bijective and continuous (but not open). Yet the example shows that it cannot possibly be a homeomorphism.

**3.12 Definition.**  $(X, \mathcal{T})$  is *Hausdorff* if for each  $x, y \in X$  with  $x \neq y$ , there exist  $U, V \in \mathcal{T}$  such that  $x \in U$ ,  $y \in V$  and  $U \cap V = \emptyset$ .

According to Theorem 1.10, any metrizable space is Hausdorff. The topological space of Example 1.5 is not Hausdorff.

*Exercise 31.* Prove that if  $(X, \mathcal{T})$  is Hausdorff and  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ , then  $(Y, \mathcal{T}')$  is also Hausdorff. (Warning: There exist Hausdorff spaces that are not metrizable, so Theorem 3.10 is of no use here. However, the techniques used in its proof might be useful.)

#### 4. Subspaces.

Let  $(X, \mathcal{T})$  be a topological space, let  $Y$  be a nonempty subset of  $X$ , and set  $\mathcal{T}_Y = \{U \cap Y \mid U \in \mathcal{T}\}$ .

4.1 THEOREM.  $(Y, \mathcal{T}_Y)$  is a topological space.

$(Y, \mathcal{T}_Y)$  is said to be a *subspace* of  $(X, \mathcal{T})$  and  $\mathcal{T}_Y$  is called the *topology* on  $Y$  induced by  $\mathcal{T}$ . The elements of  $\mathcal{T}_Y$  are said to be *open relative to  $Y$* .

4.2 Example. Let  $X = \mathbb{R}$  (usual topology) and let  $Y = [0, 2] \subset \mathbb{R}$ . Then  $[0, 1)$  is open relative to  $Y$  since  $[0, 1) = (-1, 1) \cap Y$  and  $(-1, 1)$  is open in  $X$ .

4.3 THEOREM. If  $\emptyset \neq Z \subseteq Y \subseteq X$ , then  $(\mathcal{T}_Y)_Z = \mathcal{T}_Z$ .

Just as we say that a subset of  $Y$  is open relative to  $Y$  if it is of the form  $U \cap Y$  for some open  $U \subseteq X$ , we say that a subset of  $Y$  is *closed relative to  $Y$*  if it is of the form  $A \cap Y$  for some closed  $A \subseteq X$ . Now, in the topological space  $(Y, \mathcal{T}_Y)$  we already have a notion of a closed subset, namely,  $B \subseteq Y$  is closed if  $Y - B$  is in  $\mathcal{T}_Y$ . The following theorem says that these two notions coincide.

4.4 THEOREM. A subset of the topological space  $(Y, \mathcal{T}_Y)$  is closed if and only if it is closed relative to  $Y$ .

*Exercise 32.* Assume  $Y$  is an open subset of  $X$  and let  $V \subseteq Y$ . Prove that  $V \in \mathcal{T}_Y$  if and only if  $V \in \mathcal{T}$ .

*Exercise 33.* Prove that if  $(X, \mathcal{T})$  is Hausdorff, then  $(Y, \mathcal{T}_Y)$  is also Hausdorff.

#### 5. Products.

Before discussing products, we need some preliminaries.

In a metric space  $(X, d)$  we can use the open balls to define a topology on  $X$  (cf. Example 1.2). Actually, the metric  $d$  is more than we really need for this construction. It

turns out that if we have a collection  $\mathcal{B}$  of subsets of  $X$  that merely behaves enough like the collection of open balls, then we can use  $\mathcal{B}$  to define a topology on  $X$ . This is made more precise in the following theorem.

**5.1 THEOREM.** *Let  $X$  be a nonempty set and let  $\mathcal{B}$  be a collection of subsets of  $X$  such that*

- (1) *Each element of  $X$  is contained in some element of  $\mathcal{B}$ ,*
- (2) *Given  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , there exists  $B_3 \in \mathcal{B}$  with  $x \in B_3 \subseteq B_1 \cap B_2$ .*

*Let  $\mathcal{T} = \mathcal{T}_{\mathcal{B}}$  be the collection of all subsets  $U$  of  $X$  having the property that for each  $a \in U$  there exists  $B \in \mathcal{B}$  such that  $a \in B \subseteq U$ . Then  $(X, \mathcal{T})$  is a topological space.*

The collection  $\mathcal{B}$  is called a *basis* for the topology  $\mathcal{T}$ , and  $\mathcal{T}$  is said to be *induced* by  $\mathcal{B}$ . Note that  $\mathcal{B} \subseteq \mathcal{T}$ , that is, every basis element is automatically open.

**5.2 Example.** Let  $(X, d)$  be a metric space and let  $\mathcal{B} = \{B_\epsilon(a) \mid a \in X, \epsilon > 0\}$ . Then  $\mathcal{B}$  is a basis for the topology induced by  $d$ .

**5.3 Example.** Let  $(X, \mathcal{T})$  be a topological space and let  $\mathcal{B} = \mathcal{T}$ . Then  $\mathcal{B}$  is a basis for  $\mathcal{T}$ . In other words,  $\mathcal{T}_{\mathcal{T}} = \mathcal{T}$ .

**5.4 THEOREM.** *Let the notation be as in 5.1. A subset  $U$  of  $X$  is open (i.e., an element of  $\mathcal{T}$ ) if and only if it is a union of elements of  $\mathcal{B}$ .*

Let  $(X_1, \mathcal{T}_1)$  and  $(X_2, \mathcal{T}_2)$  be topological spaces and set  $X = X_1 \times X_2 := \{(x_1, x_2) \mid x_i \in X_i\}$ . Define

$$\mathcal{B} = \{U_1 \times U_2 \mid U_i \in \mathcal{T}_i\}.$$

It is easily checked that  $\mathcal{B}$  satisfies conditions (1) and (2) of Theorem 5.1. Therefore, if we let  $\mathcal{T} = \mathcal{T}_{\mathcal{B}}$  be the induced topology, we get a topological space  $(X, \mathcal{T})$  called the (*Cartesian*) *product* of the topological spaces  $(X_1, \mathcal{T}_1)$  and  $(X_2, \mathcal{T}_2)$ .  $\mathcal{T}$  is called the *product topology*.

**5.5 Example.** Let  $X_1 = X_2 = \mathbb{R}$  (usual topology) and let  $X = X_1 \times X_2 = \mathbb{R}^2$ . If we let  $\mathcal{T}_d$  denote the topology induced by the Euclidean metric  $d$  on  $X$  and let  $\mathcal{T}$  denote the product topology on  $X$ , then  $\mathcal{T} = \mathcal{T}_d$ . In other words, the product topology on  $\mathbb{R}^2$  is just the usual topology.

**5.6 THEOREM.** *For any  $b \in X_2$ , we have  $X_1 \times \{b\} \simeq X_1$  where  $X_1 \times \{b\} = \{(x_1, b) \mid x_1 \in X_1\} \subseteq X$  is given the subspace topology. (Similarly,  $\{a\} \times X_2 \simeq X_2$  for each  $a \in X_1$ .)*

*Exercise 34.* Prove that the function  $\pi_1 : X \rightarrow X_1$  given by  $\pi_1((x_1, x_2)) = x_1$  is continuous.

*Exercise 35.* Prove that a topological space  $(X, \mathcal{T})$  is Hausdorff if and only if the “diagonal”  $\Delta := \{(x, x) \mid x \in X\}$  is closed in the product  $X \times X$ .

## Chapter 3. Connected Spaces

### 1. Definition and Examples.

Let  $(X, \mathcal{T})$  be a topological space.

**1.1 Definition.**  $(X, \mathcal{T})$  is *not connected* if there exist open subsets  $U$  and  $V$  of  $X$  such that

- (1)  $U, V \neq \emptyset$ ,
- (2)  $U \cap V = \emptyset$ ,
- (3)  $U \cup V = X$ .

Otherwise,  $(X, \mathcal{T})$  is *connected*.

A nonempty subset  $Y$  of  $X$  is *not connected* (resp., *connected*) if  $(Y, \mathcal{T}')$  is not connected (resp., connected) where  $\mathcal{T}'$  is the subspace topology.

**1.2 Example.** The subset  $[0, 1) \cup (2, 3)$  of  $\mathbb{R}$  (usual topology) is not connected, whereas any interval in  $\mathbb{R}$  is connected (more about this in the next section).

**1.3 THEOREM.**  $(X, \mathcal{T})$  is connected if and only if  $X$  and  $\emptyset$  are the only clopen subsets of  $X$ .

*Exercise 36.* Prove Theorem 1.3.

Let  $(Y, \mathcal{T}')$  be another topological space.

**1.4 THEOREM.** Let  $f : X \rightarrow Y$  be continuous. If  $S$  is a connected subset of  $X$ , then  $f(S)$  is connected.

**1.5 COROLLARY.** If  $(X, \mathcal{T})$  is connected and  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ , then  $(Y, \mathcal{T}')$  is connected.

**1.6 THEOREM.** If  $S$  is a connected subset of  $X$  and  $S \subseteq T \subseteq S^-$ , then  $T$  is connected.

**1.7 LEMMA.** Let  $Y = \{0, 1\}$  (discrete topology).  $(X, \mathcal{T})$  is connected if and only if the only continuous functions  $f : X \rightarrow Y$  are the constant functions (i.e.,  $f(X) = \{0\}$  or  $f(X) = \{1\}$ ).

**1.8 THEOREM.** If  $(X, \mathcal{T})$  and  $(Y, \mathcal{T}')$  are connected, then so is  $X \times Y$  (with the product topology).

*Exercise 37.* Let  $S, K \subseteq X$  with  $S$  connected,  $K$  clopen, and  $S \cap K \neq \emptyset$ . Prove that  $S \subseteq K$ .

*Exercise 38.* Let  $S$  and  $T$  be connected subsets of  $X$  with  $S \cap T \neq \emptyset$ . Prove that  $S \cup T$  is connected. (Hint: Use Theorem 1.3 and Exercise 37.)

## 2. Applications to the real line.

**2.1 Definition.** A subset  $S$  of  $\mathbb{R}$  is an *interval* if whenever  $a < b$  are elements of  $S$  and  $x \in \mathbb{R}$  satisfies  $a < x < b$ , then  $x \in S$ .

**2.2 Example.**  $(-\infty, 1]$ ,  $(1, 2)$ ,  $\{3\}$ , and  $\mathbb{R}$  are all intervals.

**2.3 THEOREM.** A subset of  $\mathbb{R}$  is connected if and only if it is an interval.

**2.4 THEOREM.** (Intermediate-Value Theorem) Let  $f : [a, b] \rightarrow \mathbb{R}$  be a continuous function. For each number  $r$  between  $f(a)$  and  $f(b)$ , there exists a number  $c \in [a, b]$  such that  $f(c) = r$ .

**2.5 THEOREM.** (Brouwer's Fixed-Point Theorem) If  $f : [0, 1] \rightarrow [0, 1]$  is continuous, then  $f(c) = c$  for some  $c \in [0, 1]$ .

## 3. Path-Connected Spaces.

Let  $(X, \mathcal{T})$  be a topological space.

**3.1 Definition.** Let  $a, b \in X$ . A *path* from  $a$  to  $b$  is a continuous function  $f : [0, 1] \rightarrow X$  such that  $f(0) = a$  and  $f(1) = b$ . The image  $f([0, 1])$  of a path  $f$  is a *curve*.

**3.2 Example.** The function  $f : [0, 1] \rightarrow \mathbb{R}^2$  (usual topology) given by  $f(t) = (2t - 1, (2t - 1)^2)$  is a path from  $(-1, 1)$  to  $(1, 1)$ .

Let  $(Y, \mathcal{T}')$  be another topological space.

**3.3 LEMMA.** (Pasting Lemma) Let  $A_1, A_2$  be closed subsets of  $X$ , let  $f_i : A_i \rightarrow Y$  ( $i = 1, 2$ ) be continuous functions and assume  $f_1(a) = f_2(a)$  for every  $a \in A_1 \cap A_2$ . Set  $A := A_1 \cup A_2$ . The function  $f : A \rightarrow Y$  defined by

$$f(a) = \begin{cases} f_1(a), & a \in A_1 \\ f_2(a), & a \in A_2 \end{cases}$$

is continuous.

*Exercise 39.* A relation  $\sim$  on  $X$  is an *equivalence relation* if for all  $a, b, c \in X$

- (1)  $a \sim a$  (reflexive property),
- (2)  $a \sim b$  implies  $b \sim a$  (symmetric property),
- (3)  $a \sim b, b \sim c$  implies  $a \sim c$  (transitive property).

For  $a \in X$ , the set  $[a] := \{x \in X \mid x \sim a\}$  is called the *equivalence class* of  $a$ . The distinct equivalence classes form a partition of  $X$ .

For  $a, b \in X$ , set  $a \sim b$  if there exists a path from  $a$  to  $b$ . Prove that  $\sim$  is an equivalence relation on  $X$ . (The equivalence classes are called *path components*.) (Hint: Use 3.3 for the transitive property.)



**3.4 Definition.**  $(X, \mathcal{T})$  is *path-connected* if for each  $a, b \in X$  there exists a path from  $a$  to  $b$ . A nonempty subset  $Y$  of  $X$  is *path-connected* if  $(Y, \mathcal{T}')$  is path-connected where  $\mathcal{T}'$  is the subspace topology.

**3.5 Example.**  $\mathbb{R}$  (usual topology) is path-connected, for if  $a, b \in \mathbb{R}$ , then  $f : [0, 1] \rightarrow \mathbb{R}$  given by  $f(t) = a + (b - a)t$  is a path from  $a$  to  $b$ . More generally,  $\mathbb{R}^n$  is path-connected for any  $n$  (see Theorem 3.9 below).

**3.6 Example.** The topological space  $\{0, 1\}$  (discrete topology) is not path-connected by Lemma 1.7.

**3.7 THEOREM.** *If  $(X, \mathcal{T})$  is path-connected and  $f : X \rightarrow Y$  is continuous and surjective, then  $Y$  is path-connected.*

*Exercise 40.* Prove Theorem 3.7 and give an example to show that the statement is false if  $f$  is not surjective.

**3.8 COROLLARY.** *If  $(X, \mathcal{T})$  is path-connected and  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ , then  $(Y, \mathcal{T}')$  is path-connected.*

**3.9 THEOREM.** *If  $(X, \mathcal{T})$  and  $(Y, \mathcal{T}')$  are path-connected, then so is  $X \times Y$  (product topology).*

**3.10 THEOREM.** *If  $(X, \mathcal{T})$  is path-connected, then it is connected.*

The following example shows that the converse to Theorem 3.10 does not hold.

**3.11 Example.** The subspace  $Y := \{(x, \sin(1/x) \mid x > 0\} \cup \{(0, 0)\}$  of  $\mathbb{R}^2$  (usual topology) is connected but not path-connected.

## Chapter 4. Compact Spaces

### 1. Definition and Examples.

Let  $(X, \mathcal{T})$  be a topological space.

**1.1 Definition.** Let  $S$  be a subset of  $X$ . A collection  $\mathcal{U} = \{U_\alpha\}_{\alpha \in I}$  of subsets of  $X$  is a *covering* of  $S$  if  $\cup_\alpha U_\alpha \supseteq S$ . The collection  $\mathcal{U}$  is *open* if each  $U_\alpha$  is open and it is *finite* if  $I$  is finite. If  $J \subseteq I$ , then  $\mathcal{U}' = \{U_\alpha\}_{\alpha \in J}$  is a *subcollection* of  $\mathcal{U}$ .

**1.2 Example.** For each  $n \in \mathbb{N}$ , set  $U_n = (-1 + 1/n, 1 - 1/n) \subseteq \mathbb{R}$ . Then  $\mathcal{U} = \{U_n\}_{n \in \mathbb{N}}$  is an open covering of  $(-1, 1) \subset \mathbb{R}$ .  $\mathcal{U}$  is also an open covering of  $[-1/2, 1/2]$ .

**1.3 Definition.**  $X$  is *compact* if each open covering of  $X$  has a finite subcollection that is also a covering of  $X$ . A nonempty subset  $S$  of  $X$  is *compact* if  $(S, \mathcal{T}_S)$  is compact ( $\mathcal{T}_S =$  subspace topology).

**1.4 THEOREM.** *A nonempty subset  $S$  of  $X$  is compact if and only if each covering of  $S$  consisting of open subsets of  $X$  has a finite subcollection that is also a covering of  $S$ .*

**1.5 Example.** In Example 1.2,  $(-1, 1)$  is not compact since no finite subcollection of  $\mathcal{U}$  is a covering. Incidentally, the finite subcollection  $\{U_1, U_2, U_3\}$  of  $\mathcal{U}$  is a covering of  $[-1/2, 1/2]$ , but this does not prove, of course, that  $[-1/2, 1/2]$  is compact, since to prove this one would have to show that *every* open covering has a finite subcollection that is a covering. It will be shown in Section 3 that  $[-1/2, 1/2]$  is compact, nevertheless.

*Exercise 41.* Prove that every finite subset of  $X$  is compact.

**1.6 THEOREM.**  *$X$  is compact if and only if for each collection  $\{A_\alpha\}_{\alpha \in I}$  of closed subsets of  $X$  satisfying  $\cap_{\alpha \in J} A_\alpha \neq \emptyset$  for every finite  $J \subseteq I$ , we have  $\cap_{\alpha \in I} A_\alpha \neq \emptyset$ .*

Let  $(Y, \mathcal{T}')$  be another topological space.

**1.7 THEOREM.** *Let  $f : X \rightarrow Y$  be continuous. If  $S$  is a compact subset of  $X$ , then  $f(S)$  is compact.*

*Exercise 42.* Prove Theorem 1.7. (Hint: Use Theorem 1.4.)

**1.8 THEOREM.** *If  $(X, \mathcal{T})$  is compact and  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ , then  $(Y, \mathcal{T}')$  is compact.*

### 2. Compactness and Closed Sets.

Let  $(X, \mathcal{T})$  be a topological space.

**2.1 THEOREM.** *If  $(X, \mathcal{T})$  is compact and  $A$  is a closed subset of  $X$ , then  $A$  is compact.*

Of course, if  $(X, \mathcal{T})$  is not compact, then a closed subset of  $X$  need not be compact, since, for instance,  $X$  itself is closed.

2.2 THEOREM. *If  $(X, \mathcal{T})$  is Hausdorff and  $S$  is a compact subset of  $X$ , then  $S$  is closed.*

*Exercise 43.* Give an example to show that the Hausdorff assumption in Theorem 2.2 cannot be removed.

Let  $(Y, \mathcal{T}')$  be another topological space.

2.3 THEOREM. *If  $(X, \mathcal{T})$  is compact,  $(Y, \mathcal{T}')$  is Hausdorff, and  $f : X \rightarrow Y$  is a continuous bijection, then  $f$  is a homeomorphism.*

### 3. Products of Compact Spaces.

Let  $(X, \mathcal{T})$  be a topological space.

3.1 LEMMA. *Let  $\mathcal{B}$  be a basis for  $\mathcal{T}$ . Assume that every collection of elements of  $\mathcal{B}$  that is a covering of  $X$  has a finite subcollection that is also a covering of  $X$ . Then  $(X, \mathcal{T})$  is compact.*

3.2 THEOREM. *If  $(X_1, \mathcal{T}_1)$  and  $(X_2, \mathcal{T}_2)$  are compact topological spaces, then their product  $X = X_1 \times X_2$  is compact.*

If  $\{(X_\alpha, \mathcal{T}_\alpha)\}_{\alpha \in I}$  is a family of topological spaces, then there is a natural way to define the product  $X = \prod_{\alpha} X_\alpha$  and a (less natural) way to define a topology  $\mathcal{T}$  on  $X$  such that if each  $(X_\alpha, \mathcal{T}_\alpha)$  is compact, then  $(X, \mathcal{T})$  is compact (Tychonoff's Theorem).

3.3 THEOREM. *Any closed interval  $[a, b] \subset \mathbb{R}$  (usual topology) is compact.*

**3.4 Definition.** A subset  $S$  of  $\mathbb{R}^2$  is *bounded* if  $S \subseteq [-b, b] \times [-b, b]$  for some  $b \in \mathbb{N}$ .

3.5 THEOREM. (Heine-Borel) *A subset  $S$  of  $\mathbb{R}^2$  (usual topology) is compact if and only if it is closed and bounded.*

One can use a definition similar to 3.4 to define a bounded subset of  $\mathbb{R}^n$  for any  $n \in \mathbb{N}$ . The Heine-Borel theorem is valid in this more general setting, as well.

## Chapter 5. Algebraic Topology

### 1. Fundamental Group.

Let  $(X, \mathcal{T})$  be a topological space. Set  $I = [0, 1] \subset \mathbb{R}$  and  $I^2 = I \times I$ . Fix  $a, b \in X$ .

**1.1 Definition.** Two paths  $f$  and  $g$  from  $a$  to  $b$  are *homotopic* (written  $f \sim g$ ) if there exists a continuous function  $H : I^2 \rightarrow X$  such that for all  $t, u \in I$  we have

$$\begin{aligned} H(t, 0) &= f(t), \\ H(t, 1) &= g(t), \\ H(0, u) &= a, \\ H(1, u) &= b. \end{aligned}$$

Intuitively,  $f$  and  $g$  are homotopic if  $f$  can be continuously deformed to  $g$ .

**1.2 THEOREM.**  $\sim$  is an equivalence relation on the set of all paths from  $a$  to  $b$ .

The equivalence class of  $f$  is denoted  $[f]$ .

If  $f$  and  $g$  are two paths from  $a$  to itself, then the function  $f \cdot g : I \rightarrow X$  given by

$$(f \cdot g)(t) := \begin{cases} f(2t) & 0 \leq t \leq 1/2, \\ g(2t - 1) & 1/2 \leq t \leq 1 \end{cases}$$

is also a path from  $a$  to itself.

Let  $\pi(X, a) := \{[f] \mid f \text{ is a path from } a \text{ to itself}\}$ . For  $[f], [g] \in \pi(X, a)$ , set  $[f] \cdot [g] := [f \cdot g]$ .

**1.3 THEOREM.**  $(\pi(X, a), \cdot)$  is a group.

The group  $(\pi(X, a), \cdot)$  is called the *fundamental group* of  $X$  with *base point*  $a$ .

**1.4 Example.**  $\pi(\mathbb{R}^2, a) = \{[e]\}$  for any  $a \in \mathbb{R}^2$ .

**1.5 Example.**  $\pi(\mathbb{R}^2 \setminus \{(0, 0)\}, a) \cong \mathbb{Z}$  (under addition), where  $\mathbb{R}^2 \setminus \{(0, 0)\}$  is viewed as a subspace of  $\mathbb{R}^2$  and  $a$  is any element of  $\mathbb{R}^2 \setminus \{(0, 0)\}$ .

**1.6 THEOREM.** Let  $a, b \in X$  and assume that there exists a path from  $a$  to  $b$ . Then  $\pi(X, a) \cong \pi(X, b)$ .

Let  $(Y, \mathcal{T}')$  be another topological space.

**1.7 THEOREM.** Assume  $(X, \mathcal{T}) \simeq (Y, \mathcal{T}')$ . If  $a \in X$ ,  $b \in Y$ , and  $\varphi : X \rightarrow Y$  is a homeomorphism such that  $\varphi(a) = b$ , then  $\varphi_* : \pi(X, a) \rightarrow \pi(Y, b)$  given by  $\varphi_*([f]) = [\varphi \circ f]$  is an isomorphism.

**1.8 Example.**  $\mathbb{R}^2 \not\cong \mathbb{R}^2 \setminus \{(0, 0)\}$ .